

# *d*-wave superconductivity in cuprates

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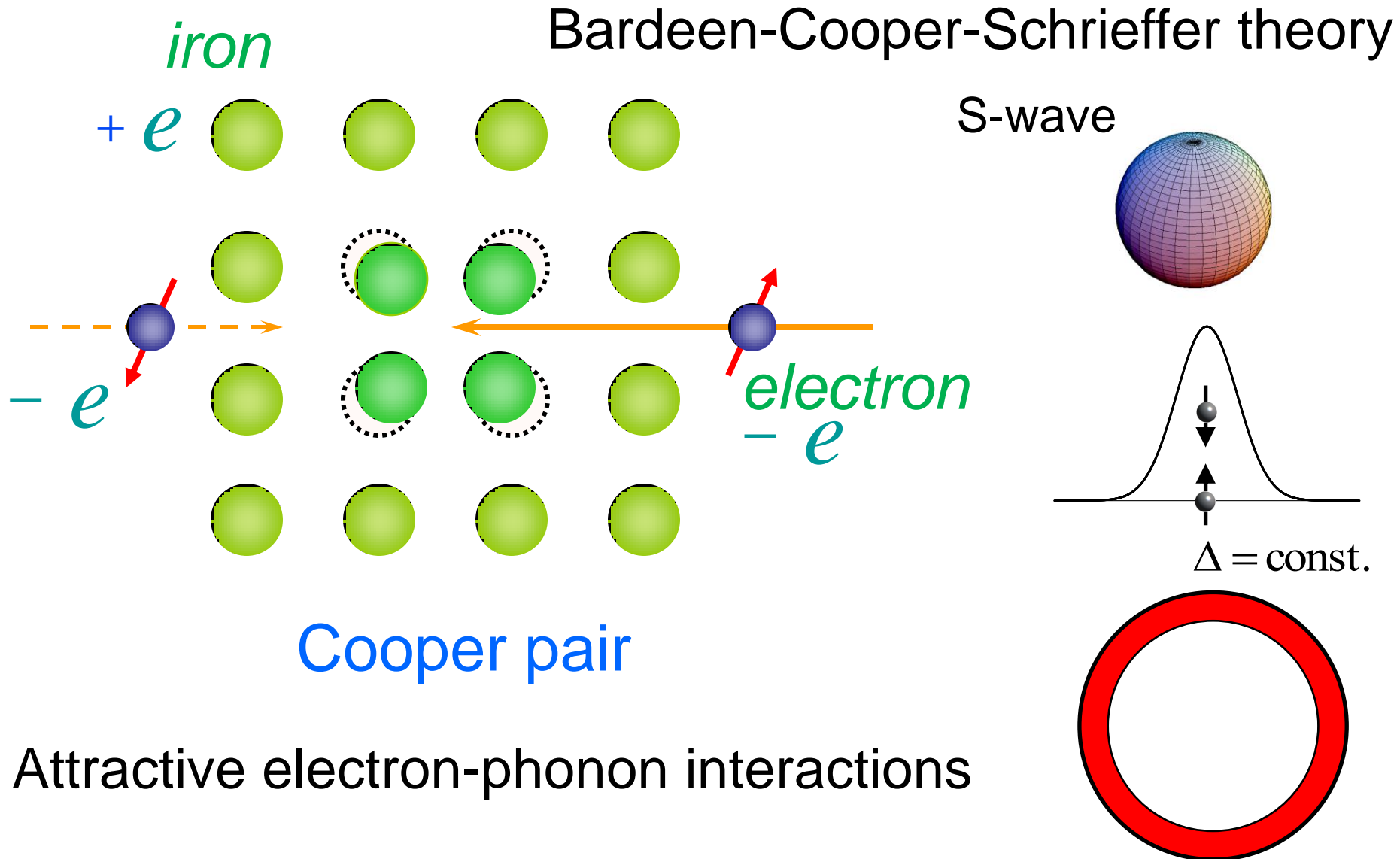
Y. Matsuda



*Department of Physics*  
*Kyoto University*  
*Kyoto, Japan*



# Conventional Superconductor



# MgB<sub>2</sub> ( $T_c = 39$ K)

J. Nagamatsu *et al.*, Nature (2001)

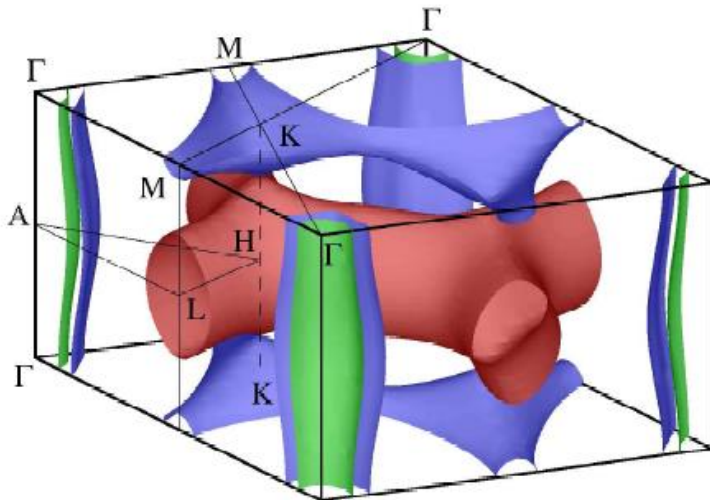
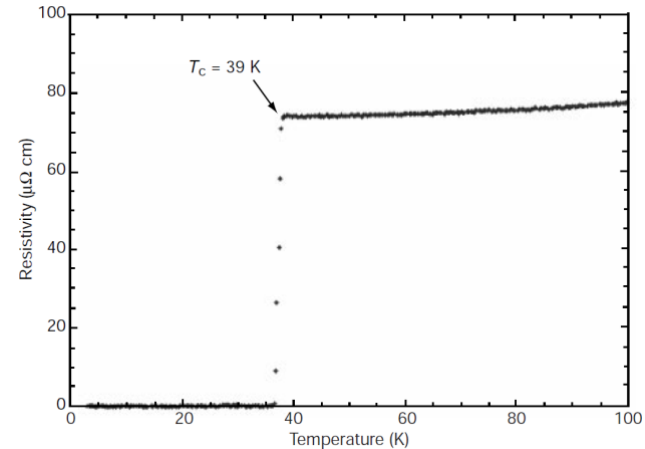
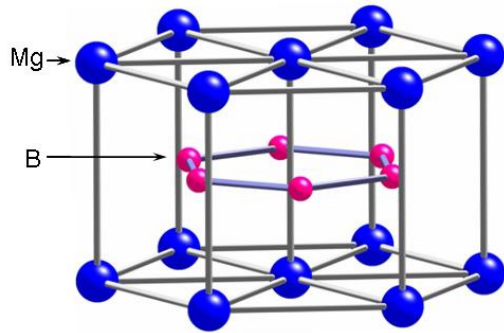
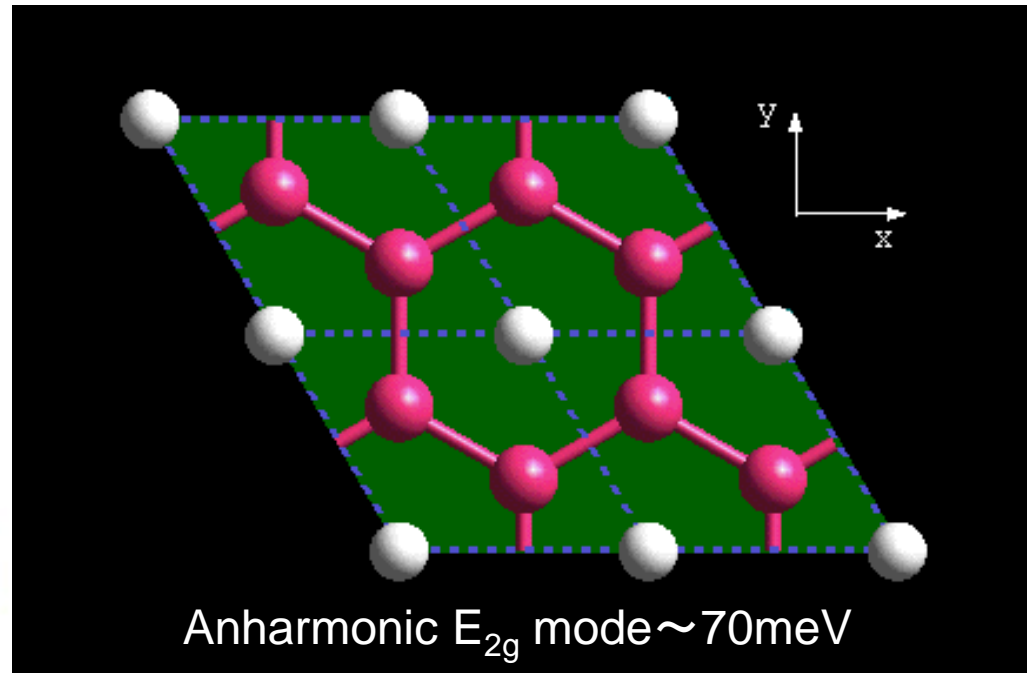


FIG. 1. Fermi surface of MgB<sub>2</sub>. The figure is taken from Ref. [5]. Holes in the  $\sigma$ -band form cylinders around the  $\Gamma$ A-line. The  $\pi$ -band has electron and hole pockets located near the H- and K-points, respectively.



# High- $T_c$ cuprates

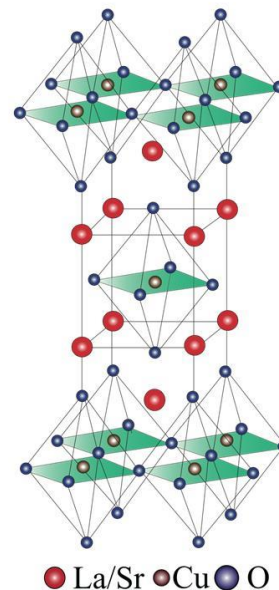
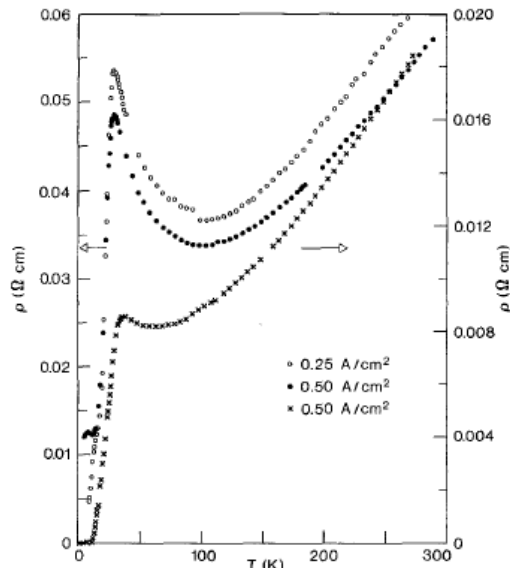
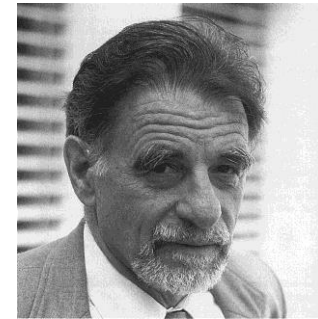
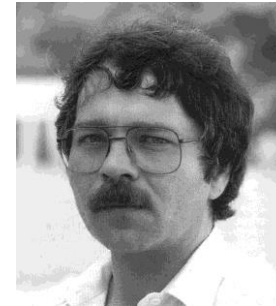
## Possible High $T_c$ Superconductivity in the Ba – La – Cu – O System

J.G. Bednorz and K.A. Müller

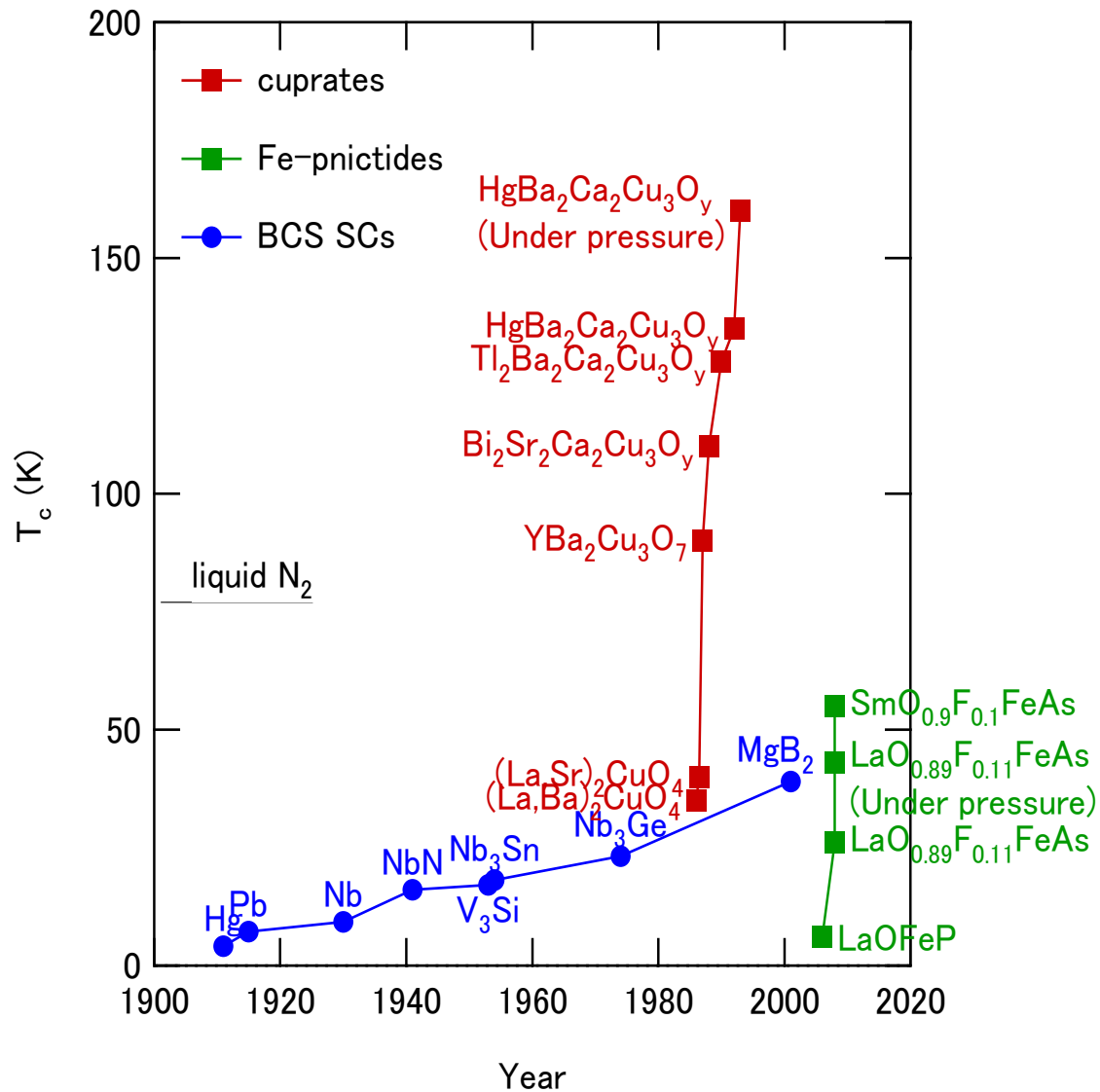
IBM Zürich Research Laboratory, Rüschlikon, Switzerland

Received April 17, 1986

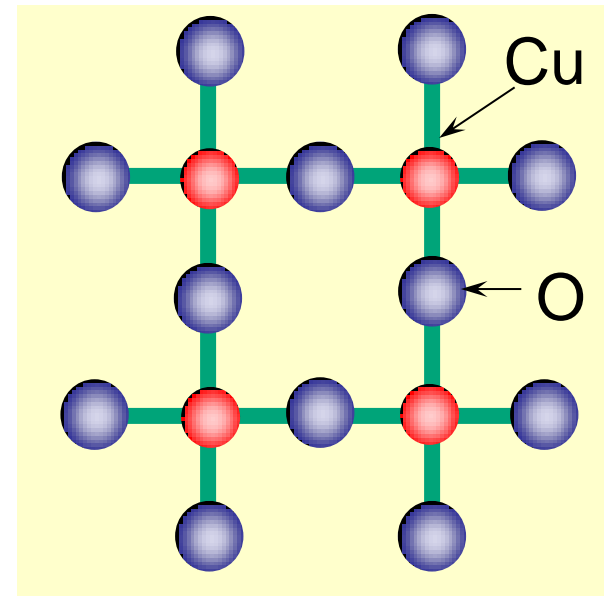
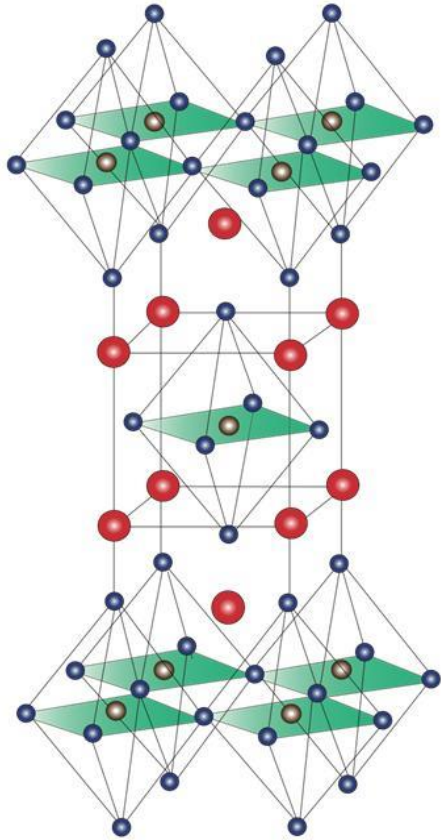
Metallic, oxygen-deficient compounds in the Ba – La – Cu – O system, with the composition  $\text{Ba}_x\text{La}_{5-x}\text{Cu}_5\text{O}_{5(3-y)}$  have been prepared in polycrystalline form. Samples with  $x=1$  and 0.75,  $y>0$ , annealed below 900 °C under reducing conditions, consist of three phases, one of them a perovskite-like mixed-valent copper compound. Upon cooling, the samples show a linear decrease in resistivity, then an approximately logarithmic increase, then an approximately logarithmic increase, interpreted as a beginning of localization. Finally an abrupt decrease by up to three orders of magnitude occurs, reminiscent of the onset of percolative superconductivity. The highest onset temperature is observed in the 30 K range. It is markedly reduced by high current densities. Thus, it results partially from the percolative nature, but possibly also from 2D superconducting fluctuations of double perovskite layers of one of the phases present.



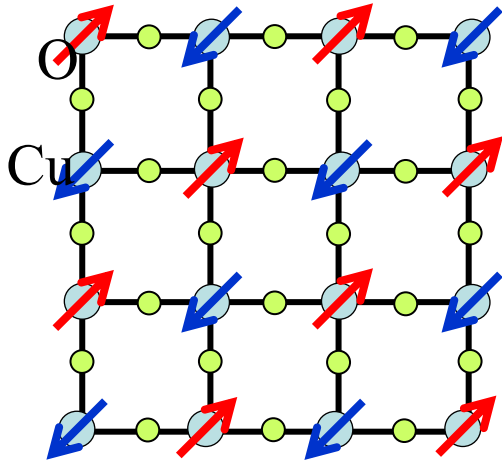
J. G. Bednorz and K.A. Müller, *Zeitschrift für Physik B* **64**, 189 (1986).



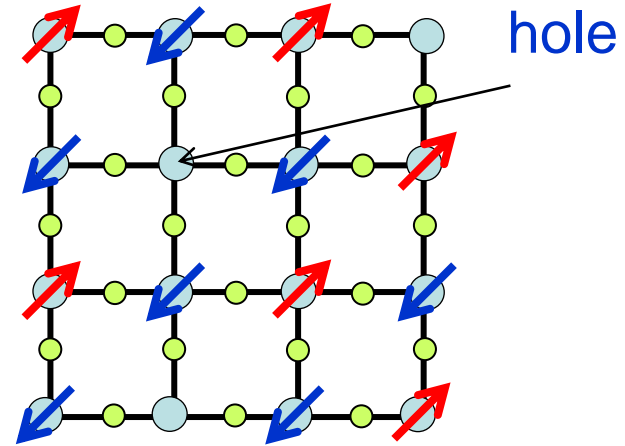
# Superconductivity occurs in $\text{CuO}_2$ 2D planes



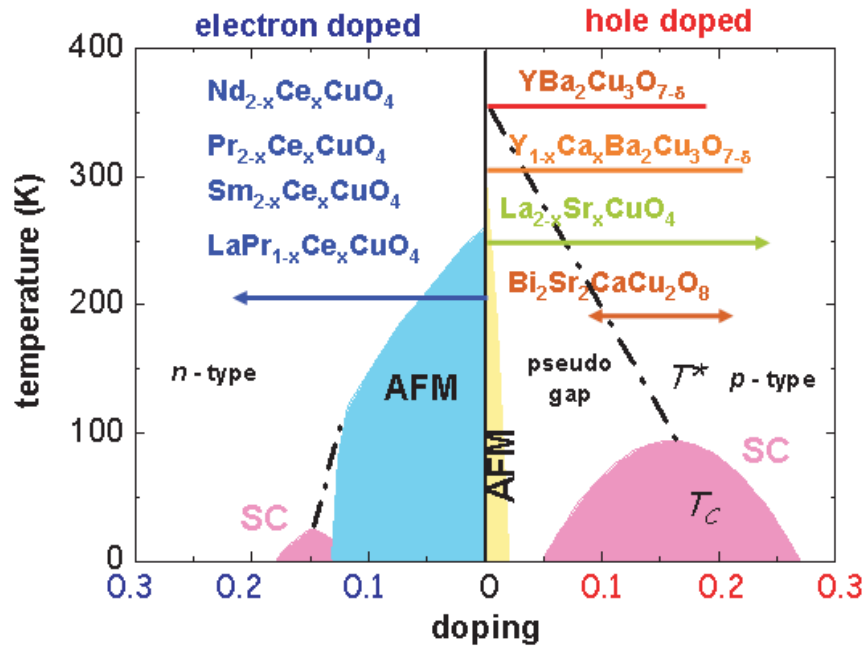
Enhanced fluctuations  $\rightarrow$  suppression of magnetic order



Mott insulator



High- $T_c$  superconductor

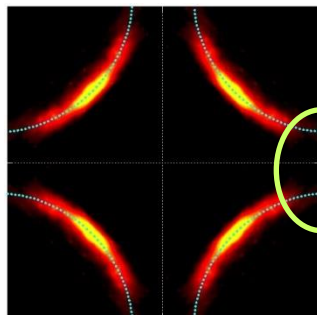


Superconductivity appears by doping holes or electrons

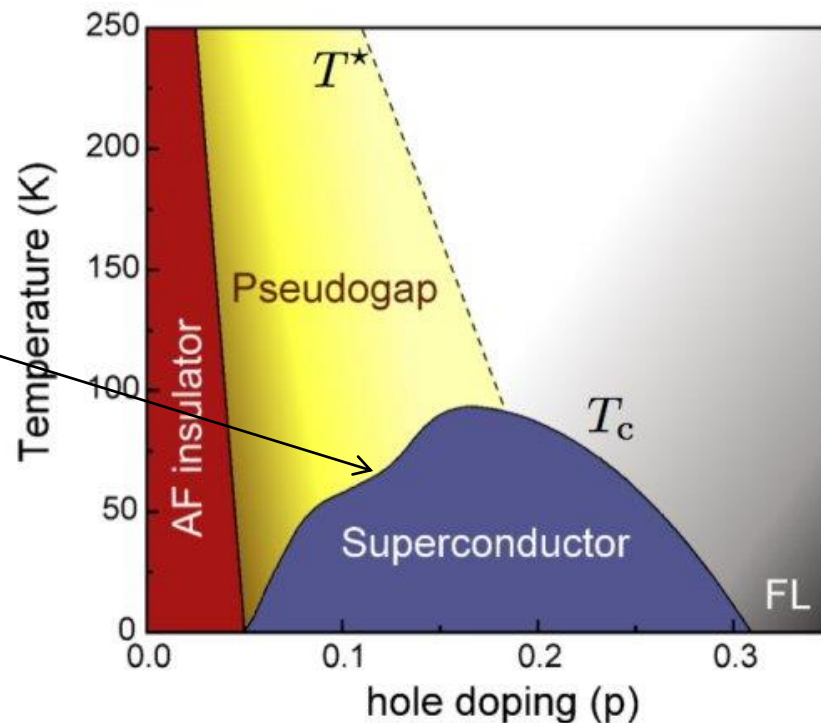
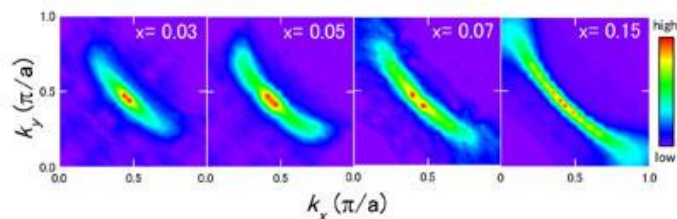
# 銅酸化物高温超伝導体最大の謎

擬ギャップ

フェルミアーク



エネルギー  
ギャップ



擬ギャップの起源

クロスオーバー

相転移

超伝導ゆらぎ

超伝導と競合する何らかの秩序

フェルミ面の再構成

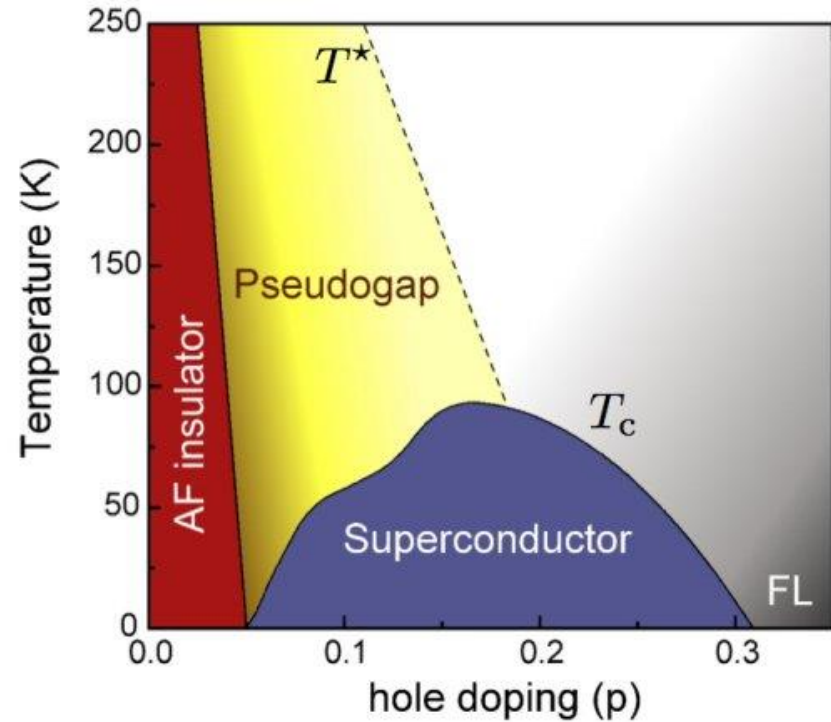
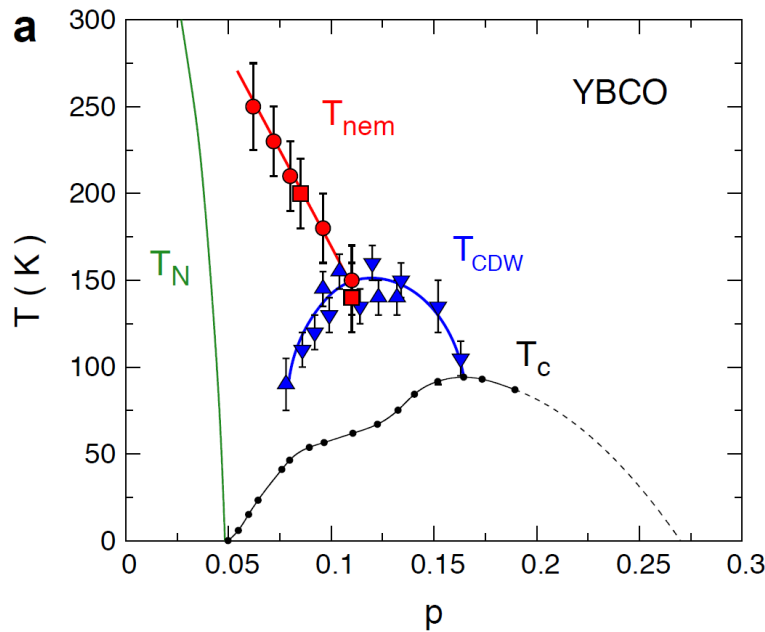
電荷ストライプ相(並進対称性の破れ)

軌道電流反磁性(時間反転対称性の破れ)



# 銅酸化物高温超伝導体最大の謎

擬ギャップ



超伝導ゆらぎ

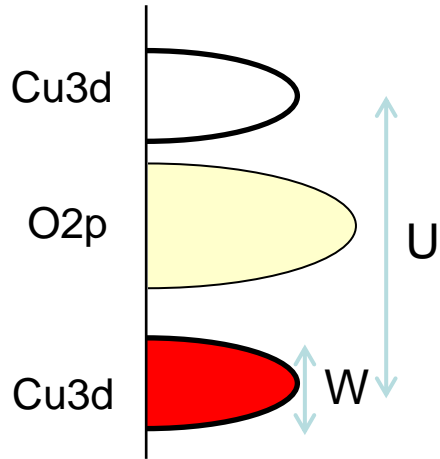
超伝導と競合する何らかの秩序

フェルミ面の再構成

電荷ストライプ相(並進対称性の破れ)

軌道電流反磁性(時間反転対称性の破れ)

# Parent compound



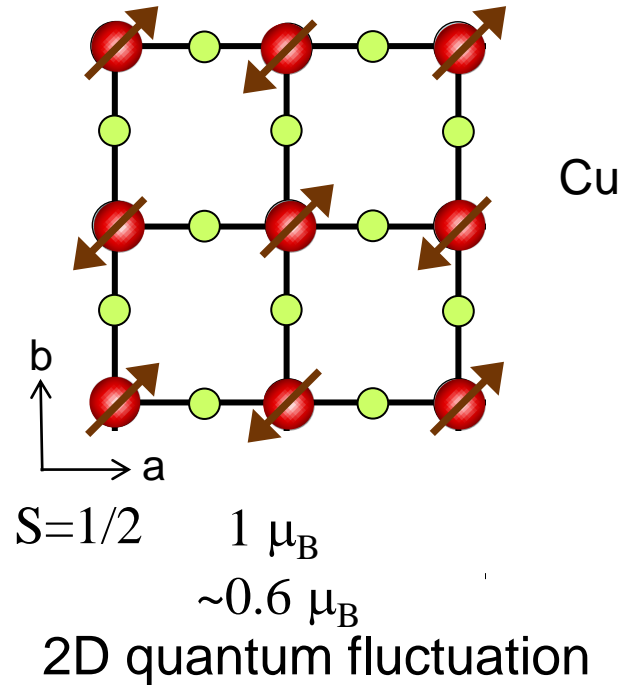
$U$  : Coulomb  $\sim 8\text{eV}$

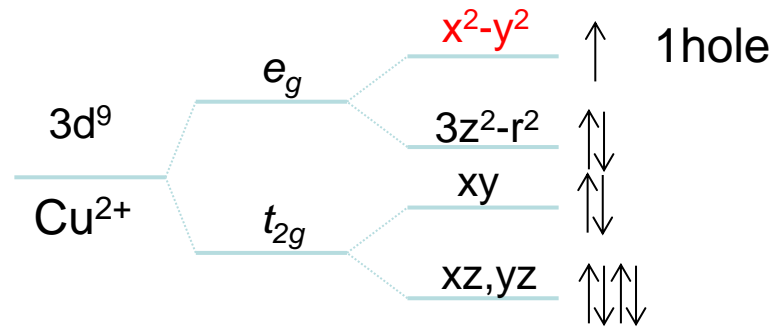
$W$  : Band width  $\sim 3\text{eV}$

Strong electron-electron correlation

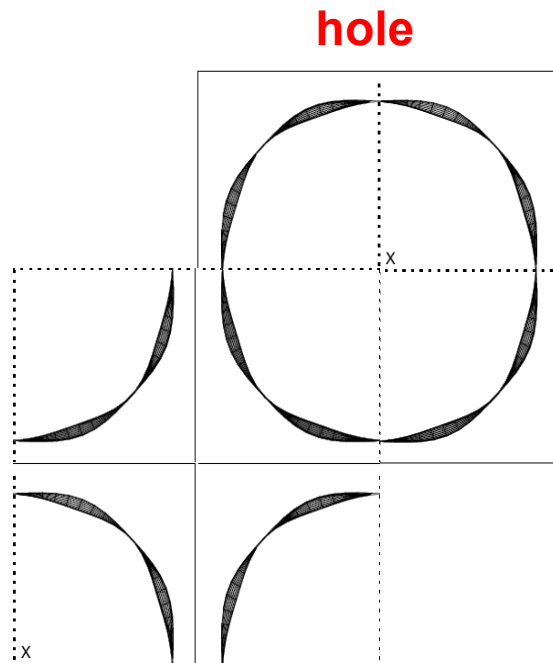
**Mott insulator**

AFM insulator





Large crystal field  $\sim 2-3$  eV



single band

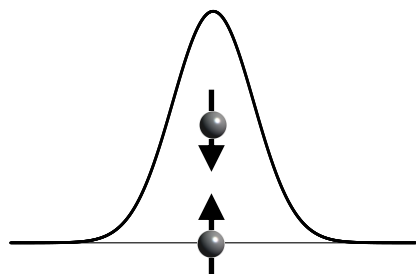
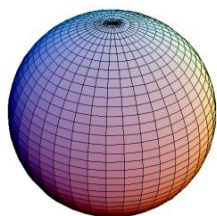
One orbital  
 $x^2-y^2$



# $d$ -wave superconductivity in cuprates

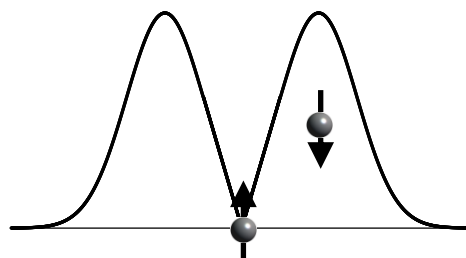
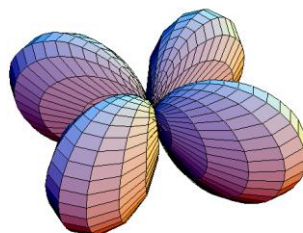
Copper pair with finite angular momentum

**$s$ -wave**

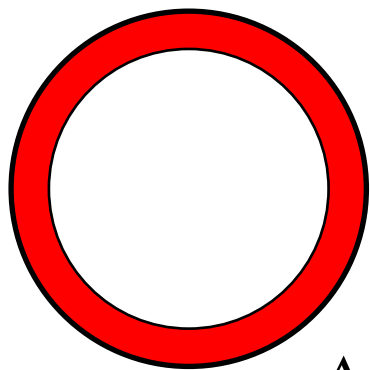
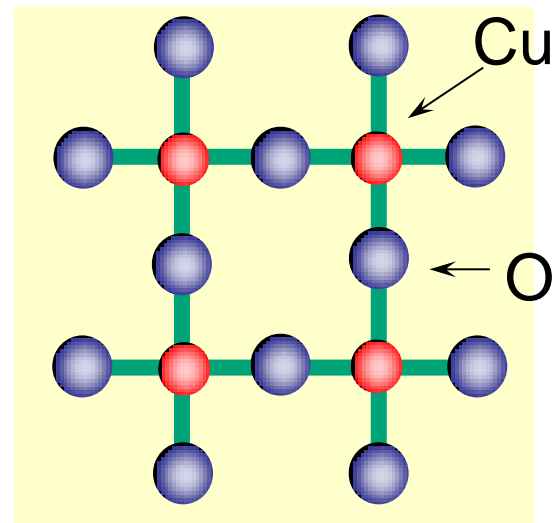


**Attractive**

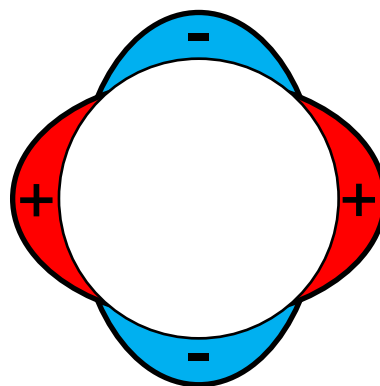
**$d$ -wave**



**Onsite repulsive**



$\Delta = \text{const.}$

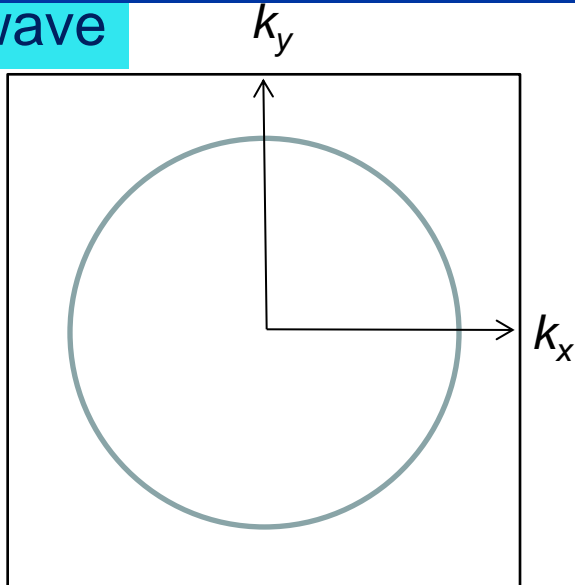


$$d_{x^2-y^2} \quad (k_x^2 - k_y^2)$$

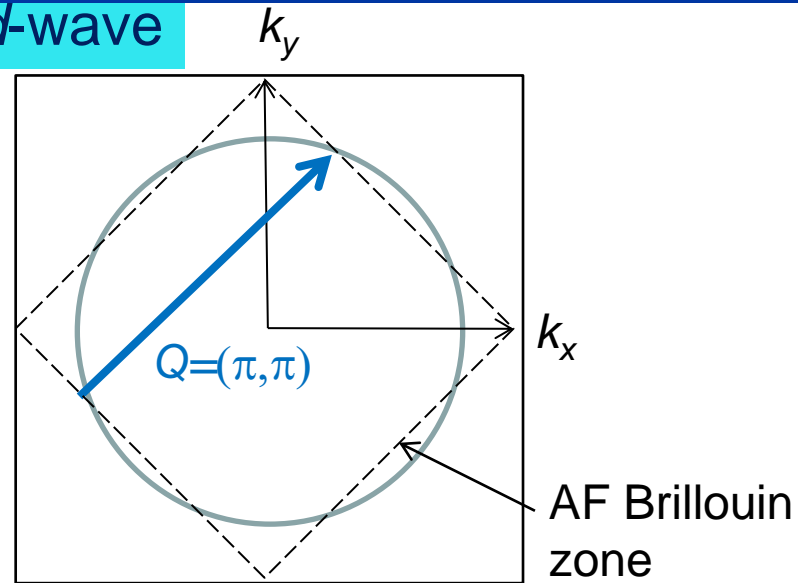
zeros at  $k_x = +k_y, -k_y$

# d-wave superconductivity in cuprates

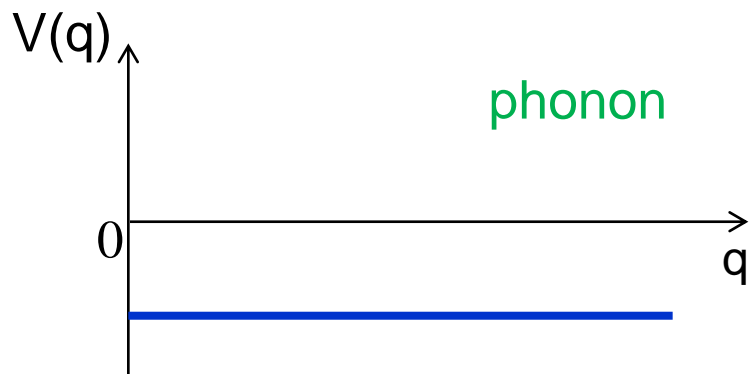
s-wave



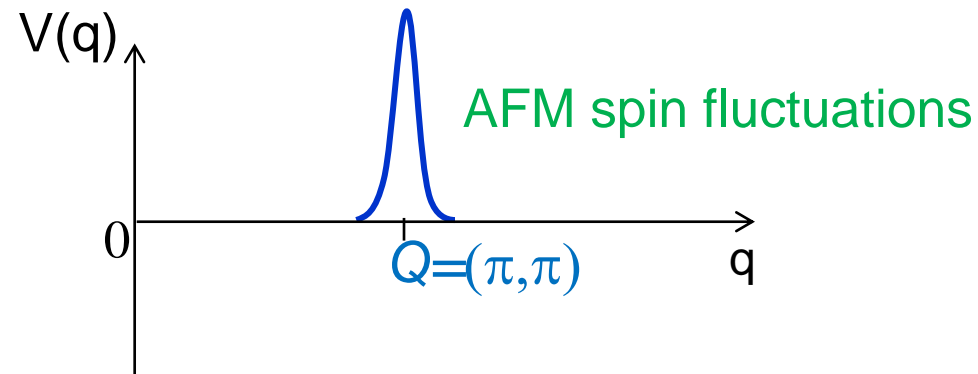
d-wave



$V(q)$ : pairing interaction



phonon



AFM spin fluctuations

$V(q)$  is negative and constant  
(attractive)

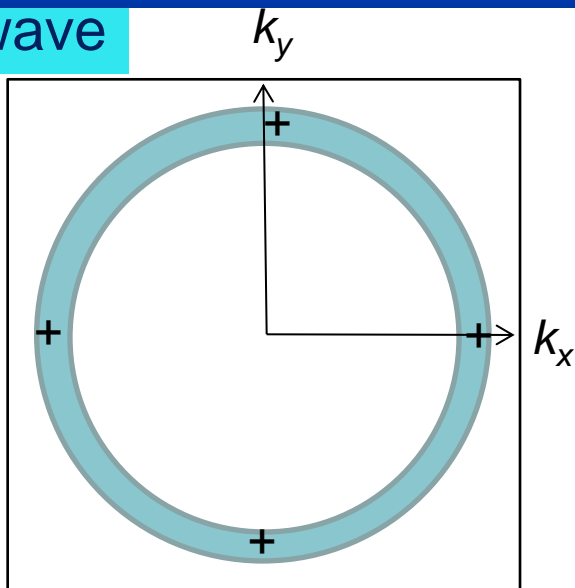
$V(q)$  is positive and peaks at  $q=Q$   
(repulsive)

$$V_{kp} \simeq \frac{3}{2} \underbrace{U^2}_{\text{Coulomb}} \underbrace{\chi(k-p)}_{\text{Magnetic fluctuation}} \quad \chi(q) \sim \delta(q - Q)$$

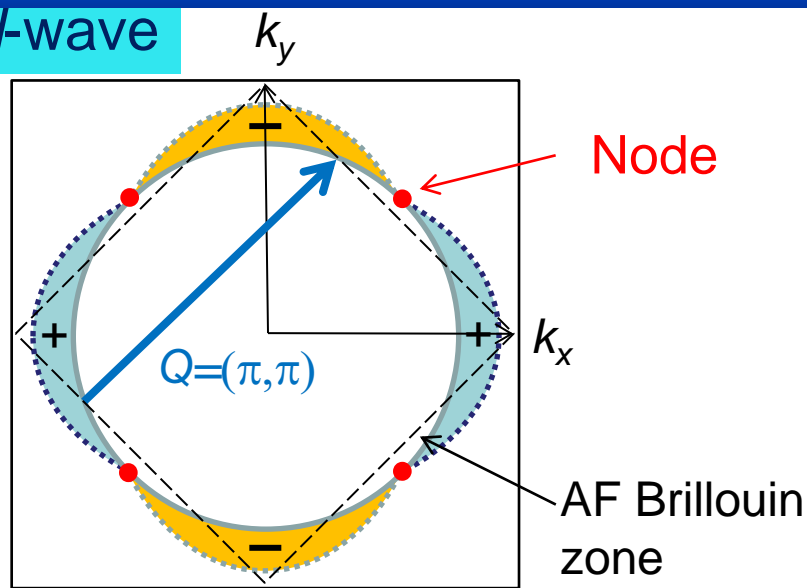
Coulomb Magnetic fluctuation

# d-wave superconductivity in cuprates

s-wave



d-wave



Gap equation

$$\Delta(k) = - \sum_p V_{kp} \frac{\tanh(\varepsilon_p/2T)}{2\varepsilon_p} \Delta(p) \quad \varepsilon_p = \sqrt{\Delta_p^2 + \xi_p^2}$$

$$\Delta(k) = \Delta$$

$$\Delta = - \sum_p V_{kp} \frac{\tanh(\varepsilon_p/2T)}{\varepsilon_p} \Delta$$

$$V_{kp} = V < 0$$

$$V(r) \sim -\delta(r)$$

$$V_{kp} \simeq \frac{3}{2} U^2 \chi(k-p) \quad \chi(q) \sim \delta(q-Q)_{Q=(\pi,\pi)}$$

Coulomb Magnetic fluctuation

$$\Delta(k) \sim - \sum_p U^2 \delta(k-p+Q) \frac{\tanh(\varepsilon_p/2T)}{2\varepsilon_p} \Delta(p)$$

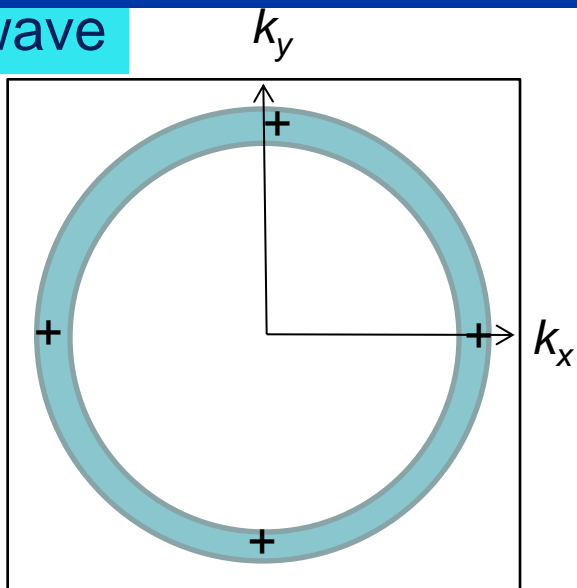
$$= -U^2 \frac{\tanh(\varepsilon_{k+Q}/2T)}{2\varepsilon_{k+Q}} \Delta(k+Q)$$

$$\Delta(k+Q)\Delta(k) < 0 \quad \text{sign change}$$

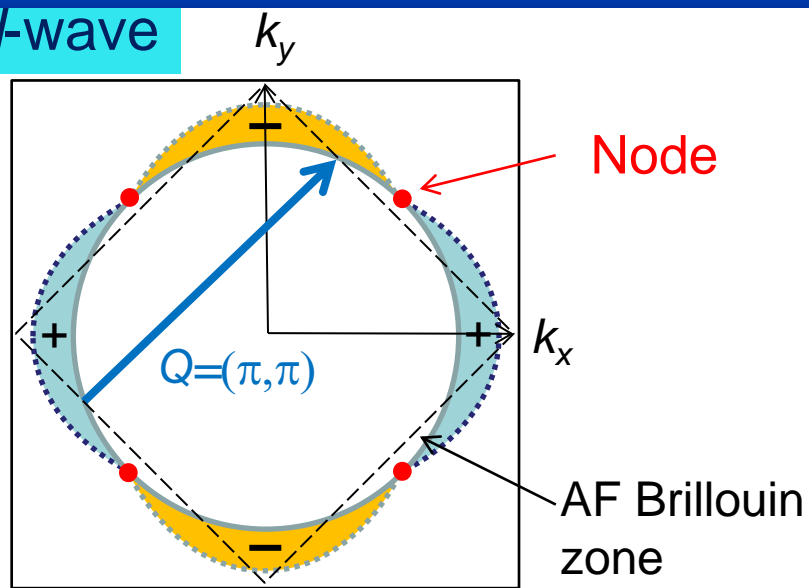
$$V(x,y) \sim \cos \pi(x+y) + \cos \pi(x-y)$$

# $d$ -wave superconductivity in cuprates

**s-wave**

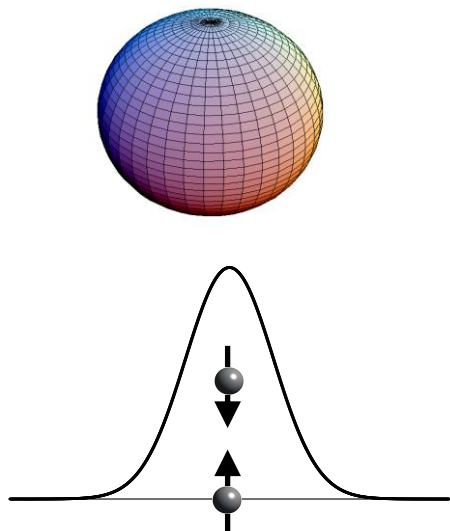


**d-wave**

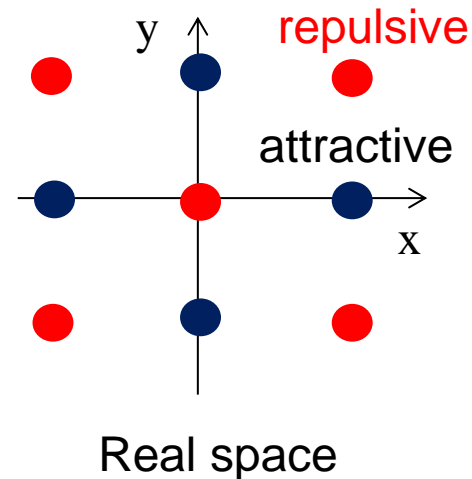
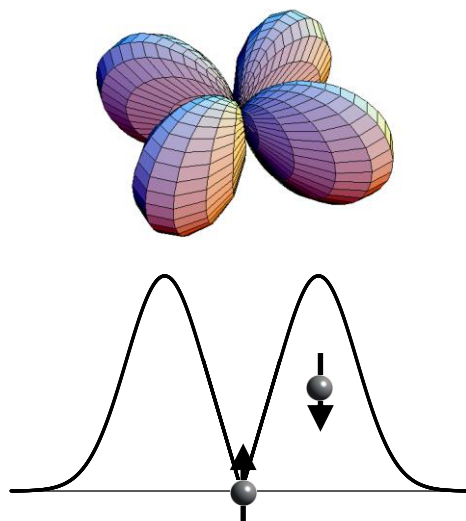


$\Delta(\mathbf{k}+\mathbf{Q})\Delta(\mathbf{k}) < 0$  sign change

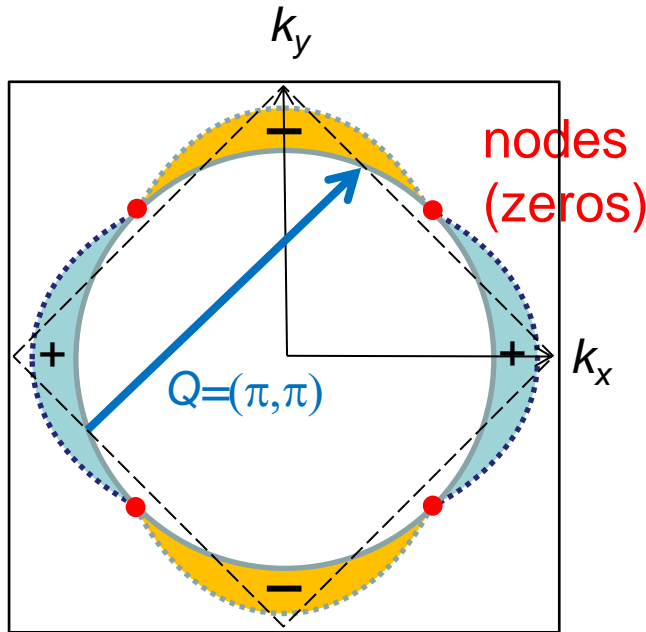
$V(r) \sim -\delta(r)$



$V(x, y) \sim \cos \pi(x + y) + \cos \pi(x - y)$



# d-wave superconductivity in cuprates



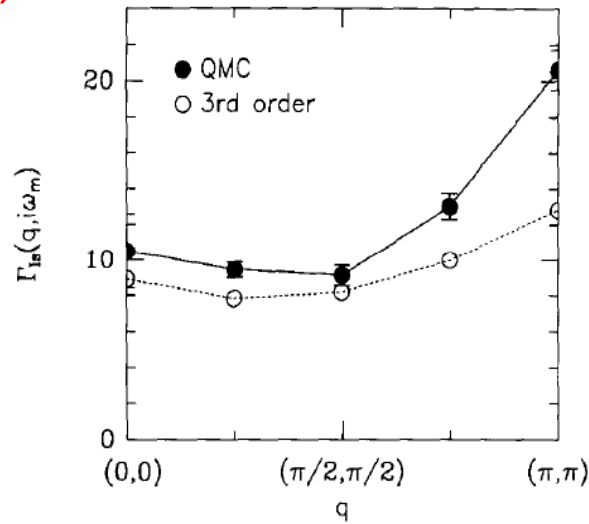
nodes  
(zeros)

$$Q=(\pi, \pi)$$

$$d_{x^2-y^2} \quad (k_x^2 - k_y^2)$$

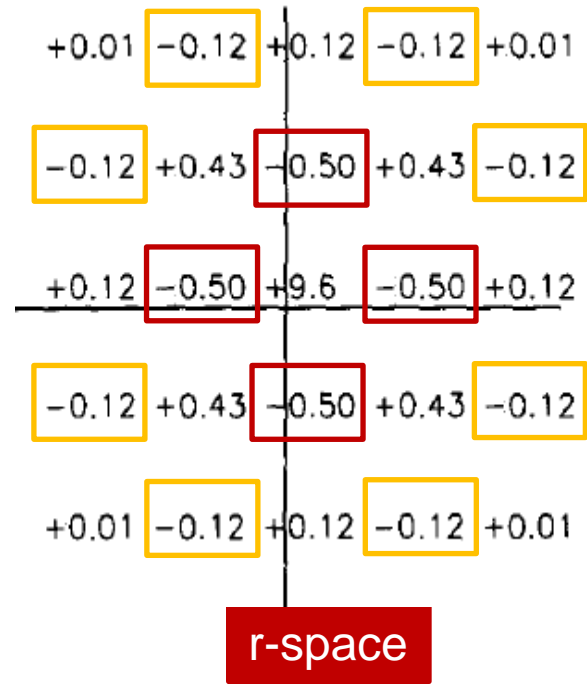
zeros at  $k_x = +k_y, -k_y$

$V(q)$  broadly peaks at  $(\pi, \pi)$   
Repulsive  $V(q) > 0$



q-space

Repulsive on-site and  
attractive off-site interaction

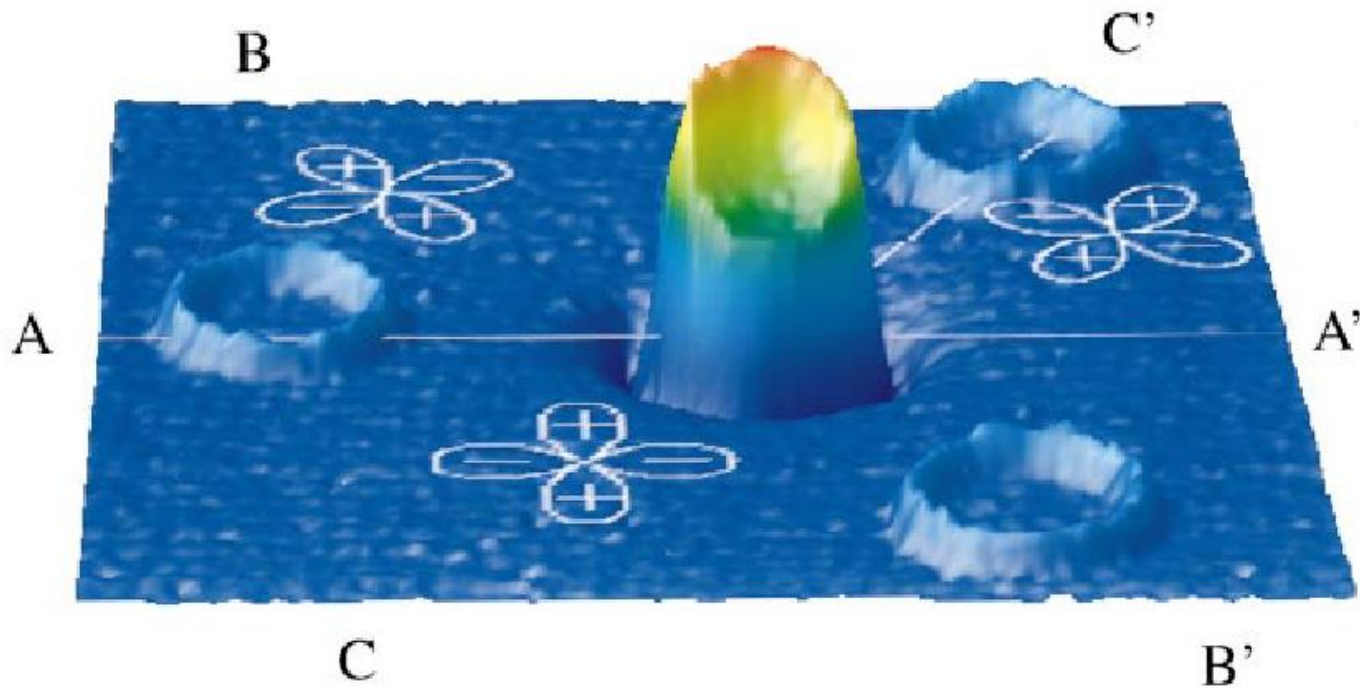
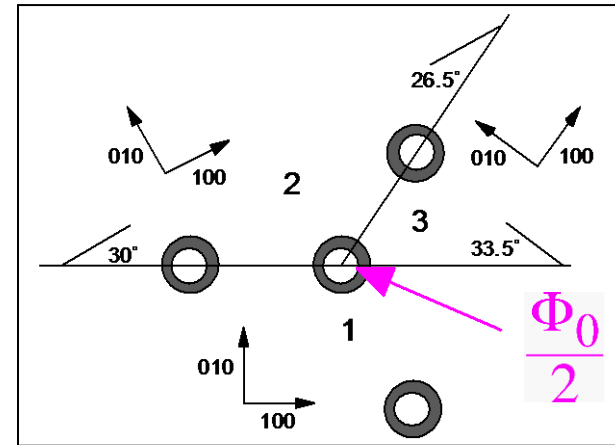


r-space



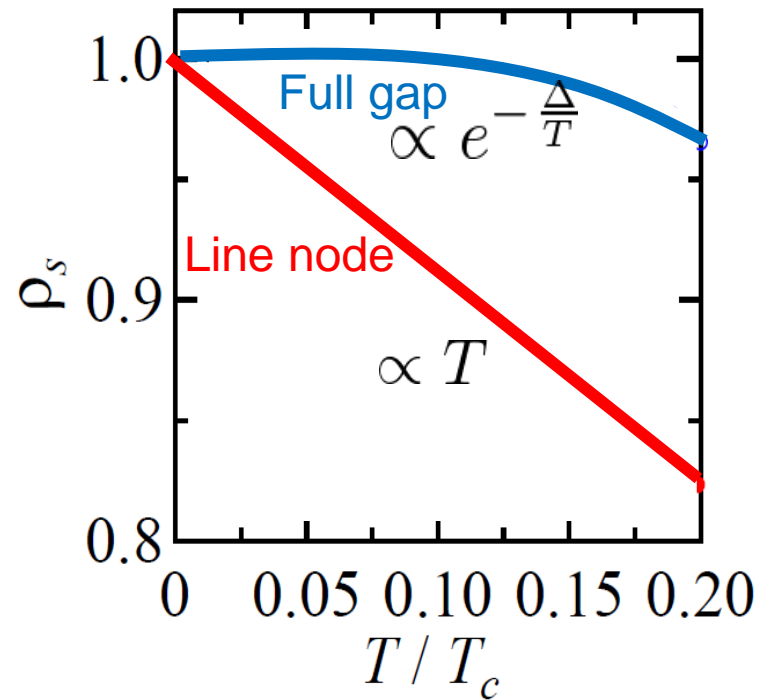
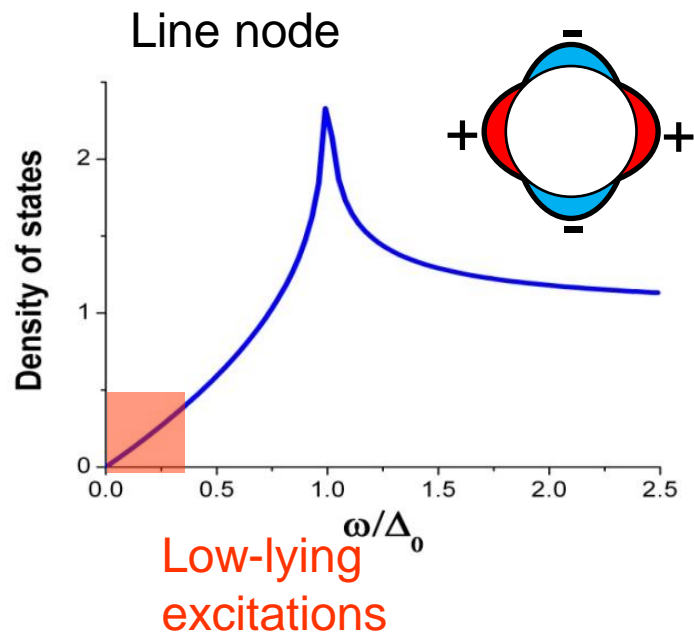
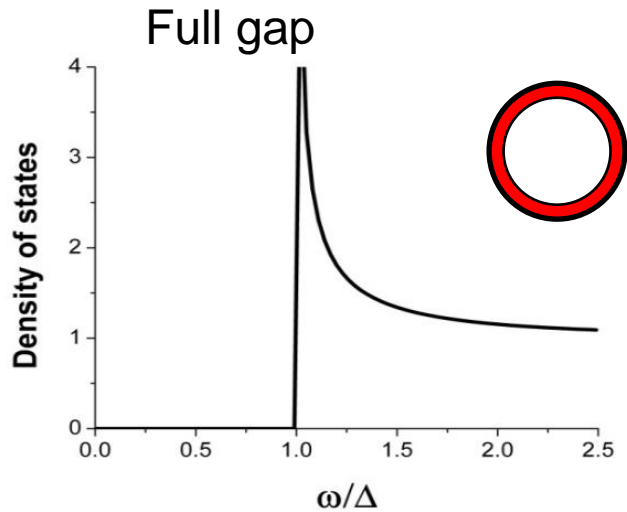
# *d*-wave superconductivity in cuprates

YBCO tricrystal  
superconducting ring  
(1994)



# 超伝導ギャップ構造の決定方法

# How to determine the gap structure



Line node

Full gap

$$\lambda_L^{-2} \propto T$$

$$\lambda_L^{-2} \propto e^{-\frac{\Delta}{T}}$$

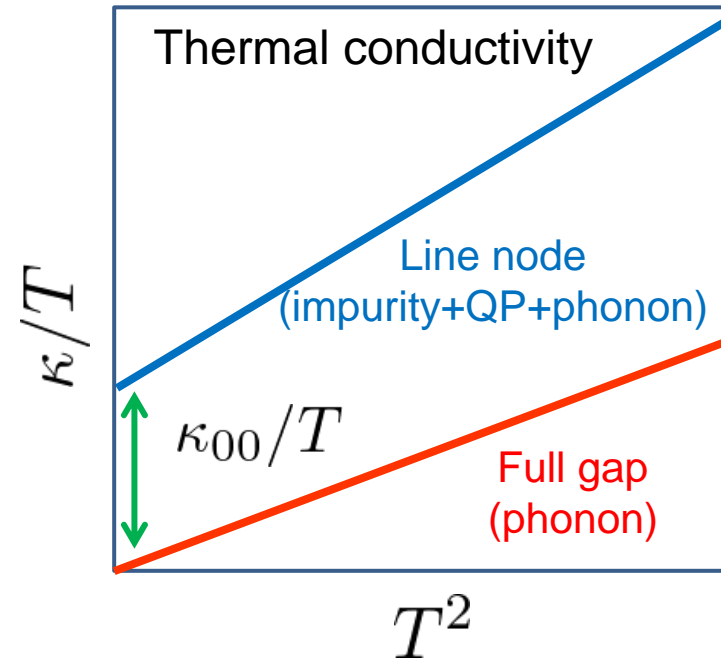
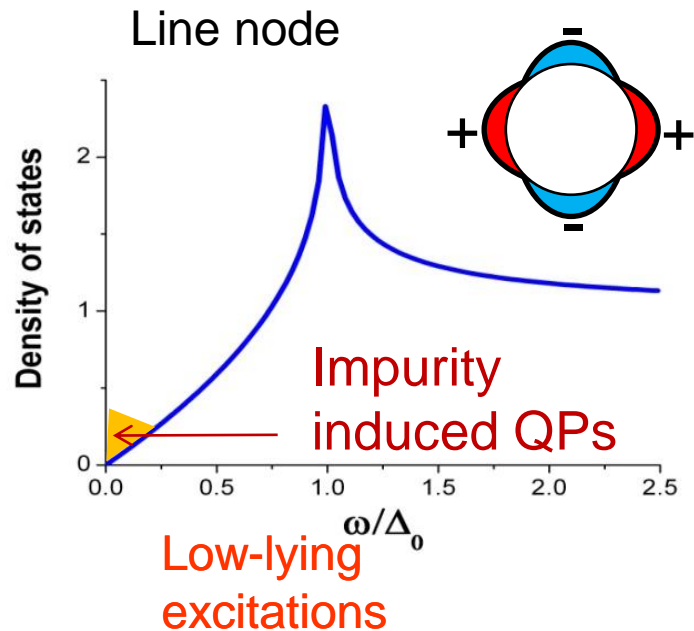
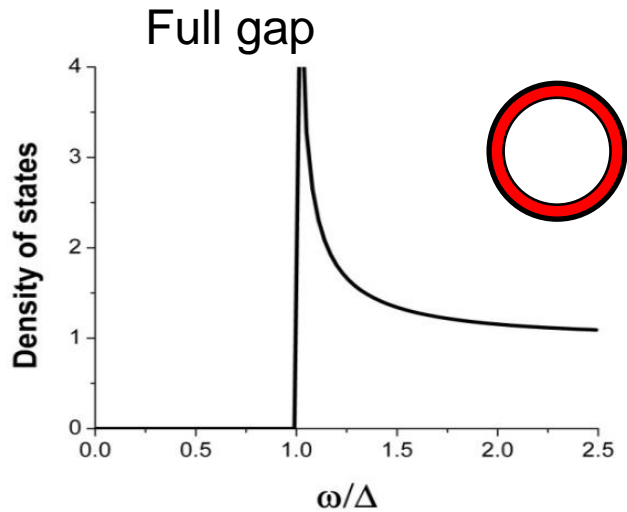
$$C \propto T^2$$

$$C \propto e^{-\frac{\Delta}{T}}$$

$$1/T_1 \propto T^3$$

$$1/T_1 \propto e^{-\frac{\Delta}{T}}$$

# How to determine the gap structure



Superfluid does not carry the heat

$$\kappa_e = C_e v_F^2 \tau \quad \kappa/T = \alpha + \beta T^2$$

$$\alpha = \kappa_{00}/T$$

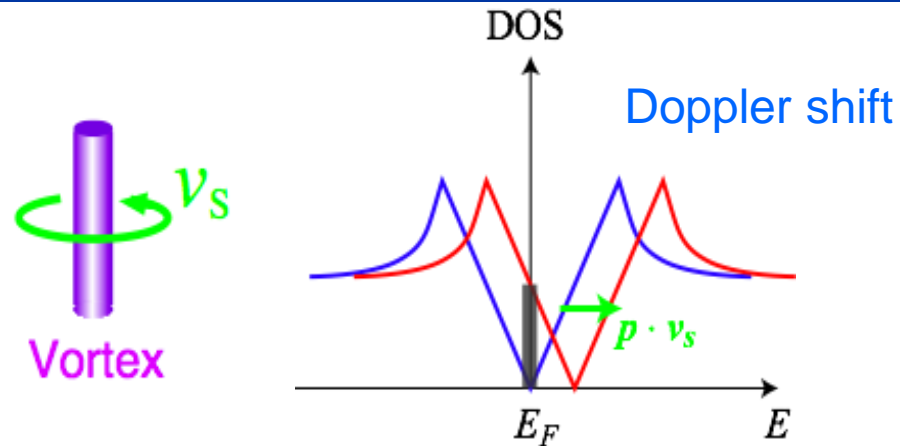
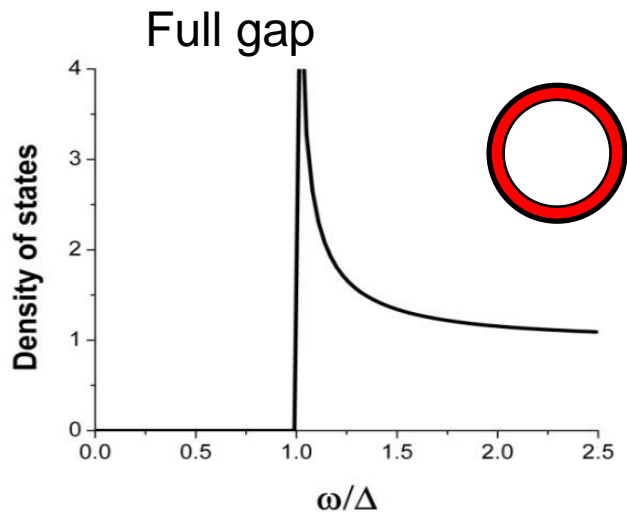
$$\kappa_{00}/T = N_{imp}(0) v_F^2 \tau_{imp}$$

$$\tau_{imp} \propto 1/N_{imp}(0)$$

Independent of impurity

Universal thermal conductivity

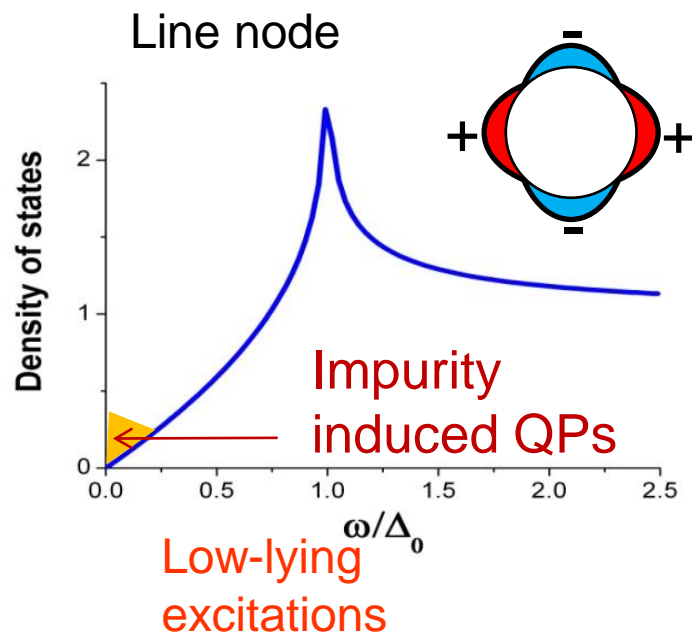
# How to determine the gap structure



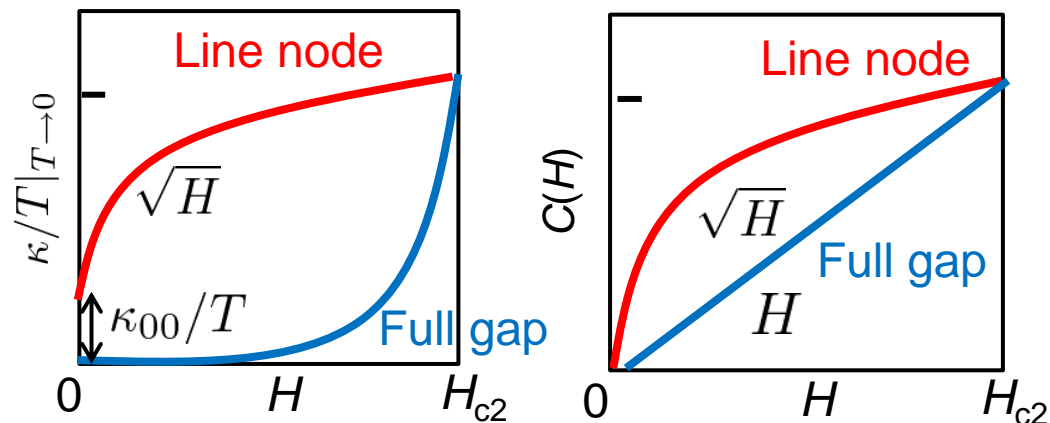
$$E'(\mathbf{p}) \rightarrow E(\mathbf{p}) + \mathbf{v}_s \cdot \mathbf{p}$$

$v_s$ : supercurrent

G.E. Volovik, JETP Lett. **58**, 469 (1993)



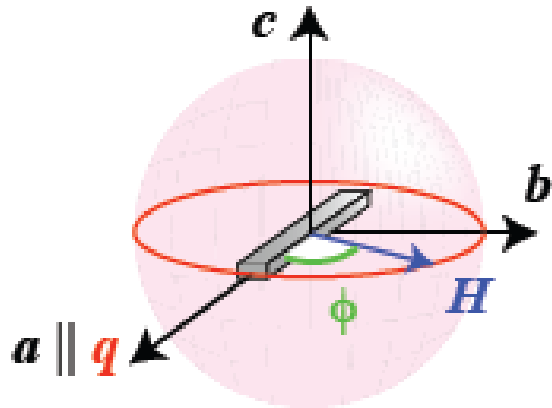
$$N(0) \sim \sqrt{H} \rightarrow C(H), \kappa(H) \sim \sqrt{H}$$



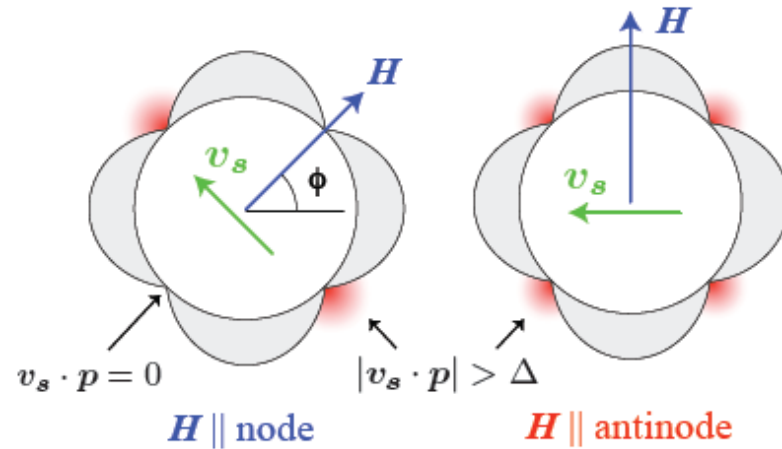
Thermal conductivity is governed by QPs **outside** of vortex core.

# How to determine the gap structure

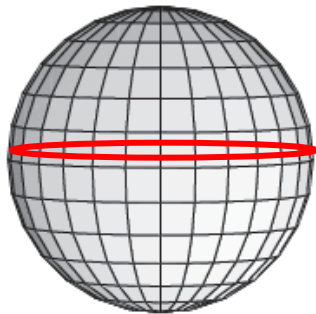
## Doppler shift



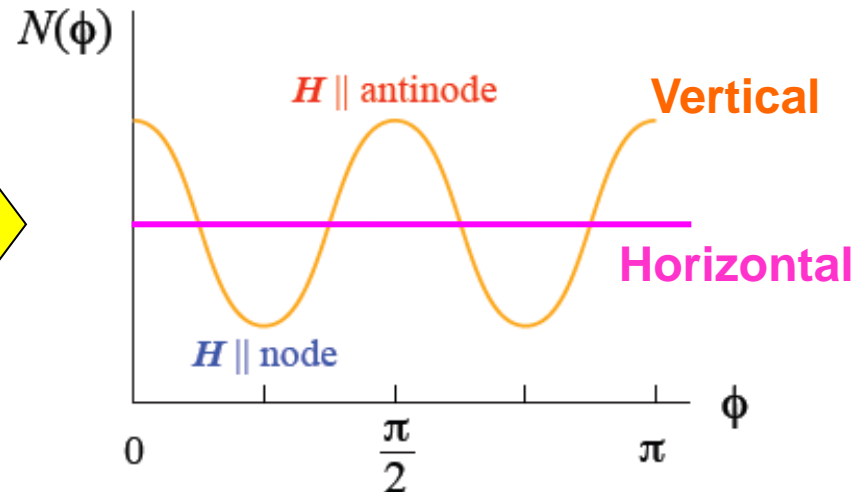
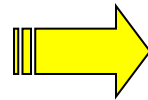
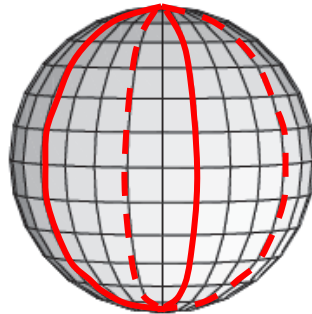
## Angular dependent DOS



$$E'(\mathbf{p}) \rightarrow E(\mathbf{p}) + \mathbf{v}_s \cdot \mathbf{p}$$



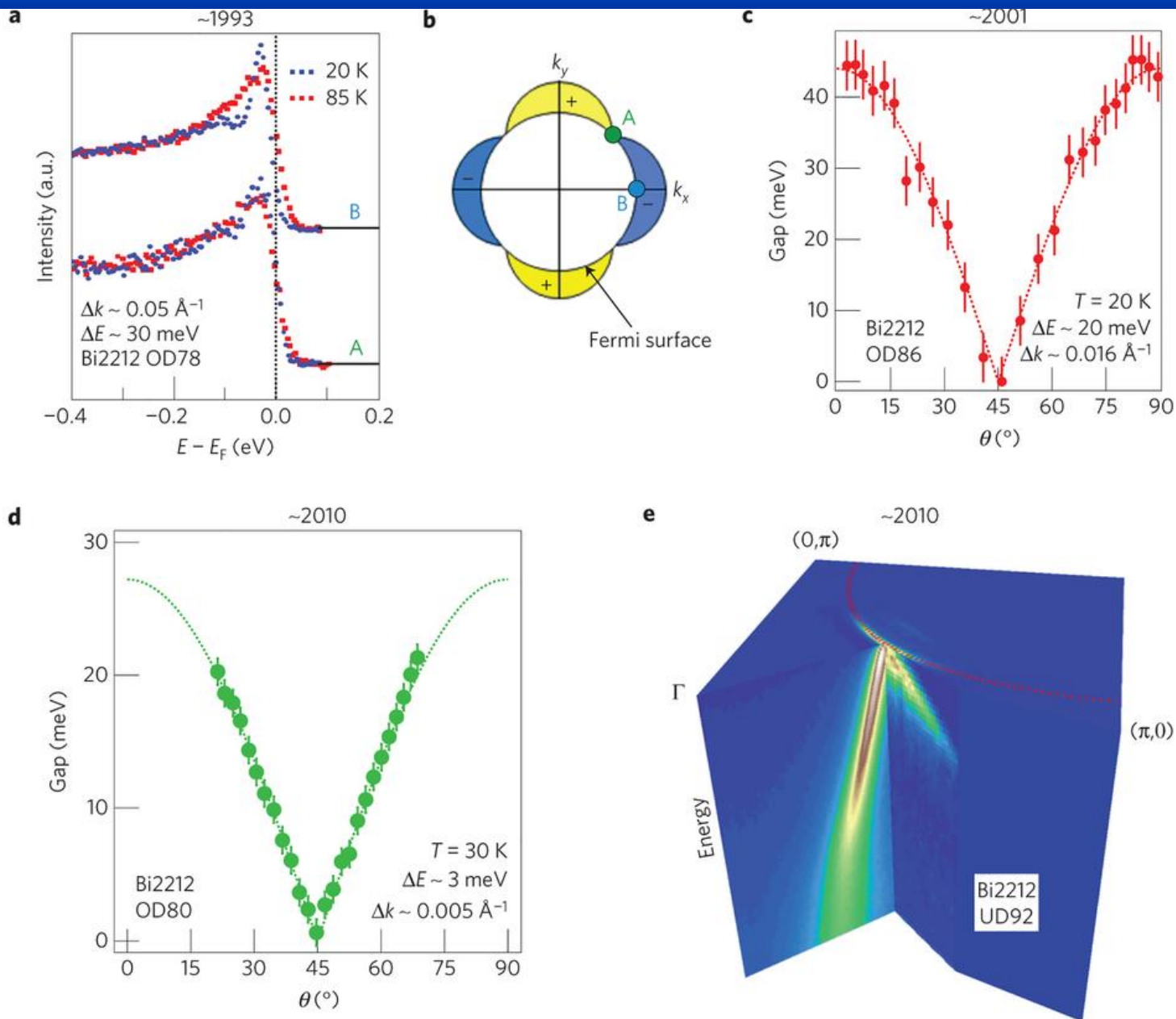
or



Horizontal

Vertical

# How to determine the gap structure

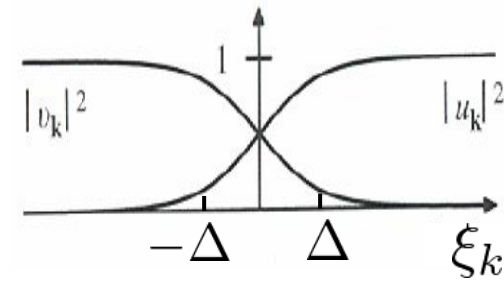
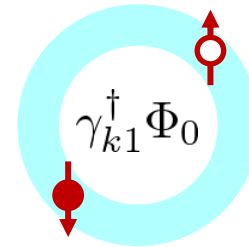
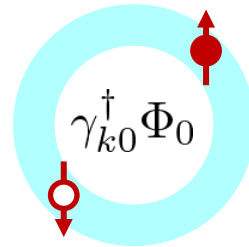


# Sign change or no sign change?

Quasiparticle excitations from the SC ground state

$$\gamma_{k0}^\dagger = u_k c_{k\uparrow}^\dagger - v_k c_{-k\downarrow}$$

$$\gamma_{k1}^\dagger = u_k c_{-k\downarrow}^\dagger + v_k c_{k\uparrow}$$



$$|u_k|^2 = \frac{1}{2} \left( 1 + \frac{\xi_k}{\sqrt{\Delta_k^2 + \xi_k^2}} \right) \quad |v_k|^2 = \frac{1}{2} \left( 1 - \frac{\xi_k}{\sqrt{\Delta_k^2 + \xi_k^2}} \right) \quad \xi_k \equiv \frac{\hbar^2 k^2}{2m} - \varepsilon_F$$

**B-quasiparticle: a superposition of an electron and a hole**

$$\mathbf{k}\sigma \rightarrow \mathbf{k}'\sigma'$$

$$\mathcal{H}_1 = \sum_{k\sigma, k'\sigma'} B_{k\sigma, k'\sigma'} c_{k\sigma}^\dagger c_{k'\sigma'} \left\{ \begin{array}{l} B_{k\sigma, k'\sigma'} c_{k\sigma}^\dagger c_{k'\sigma'} \\ B_{-k'-\sigma', -k-\sigma} c_{-k'-\sigma'}^\dagger c_{-k-\sigma} \end{array} \right. \quad \text{connected by time-reversal symmetry}$$

## Coherence factor

Scattering of QPs

$$(u_k u_{k'} \pm v_k v_{k'})^2 = \frac{1}{2} \left( 1 \pm \frac{\Delta^2}{E_k E_{k'}} \right)$$

Creation and annihilation of two QPs

$$(v_k u_{k'} \pm u_k v_{k'})^2 = \frac{1}{2} \left( 1 \pm \frac{\Delta^2}{E_k E_{k'}} \right)$$



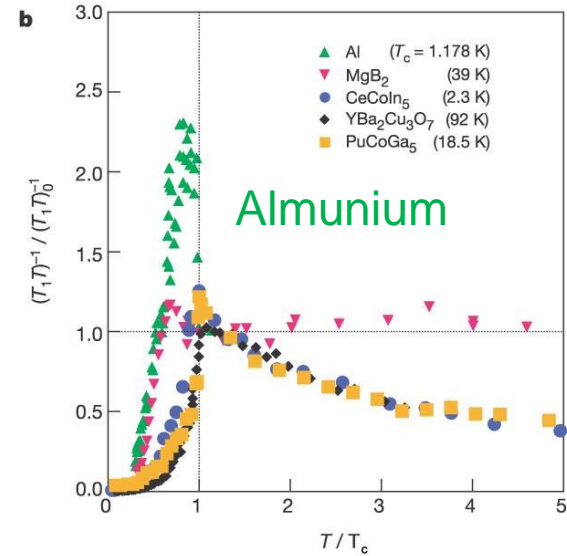
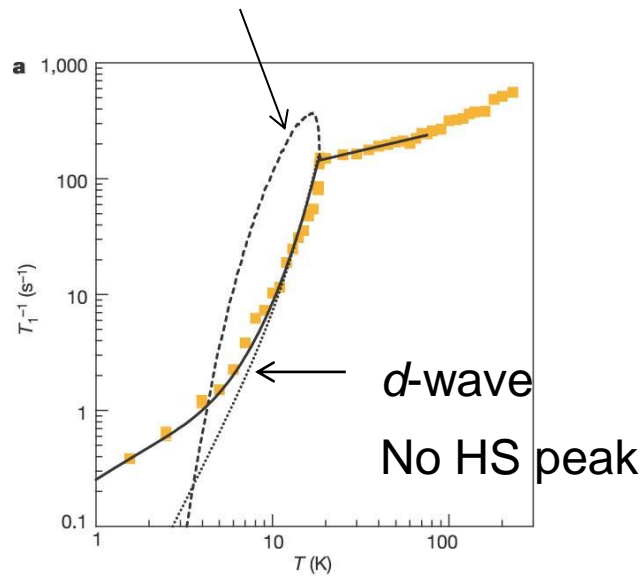
# Sign change or no sign change?: NMR

$$\frac{1}{T_1 T} \propto \sum_{kk'} \left( 1 + \frac{\Delta_k \Delta_{k'}}{E_k E_{k'}} \right) \left[ -\frac{\partial f(E_k)}{\partial E_k} \right] \delta(E_k - E_{k'})$$

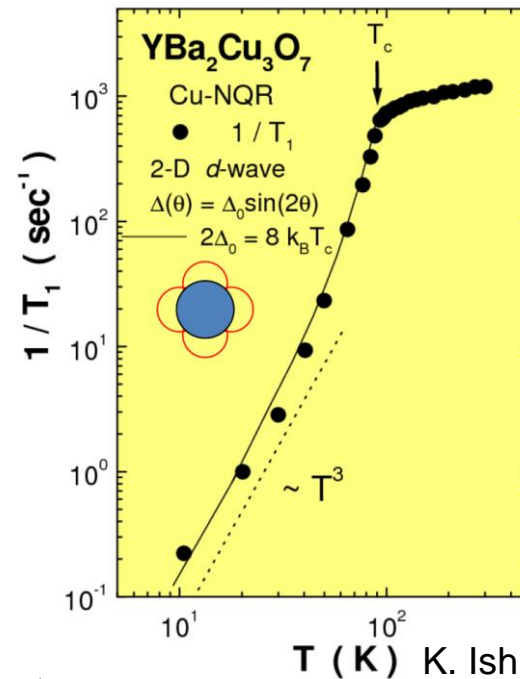
s-wave

$$\frac{1}{T_1} \propto \int_{\Delta(T)}^{\infty} dE \frac{E^2 + \Delta^2}{E^2 - \Delta^2} \operatorname{sech}^2 \left( \frac{E}{2T} \right)$$

Hebel-Slichter peak



N. Curro *et al.* Nature (12)



K. Ishida *et al.* JPSJ (93)

# Sign change? Neutron resonance peak

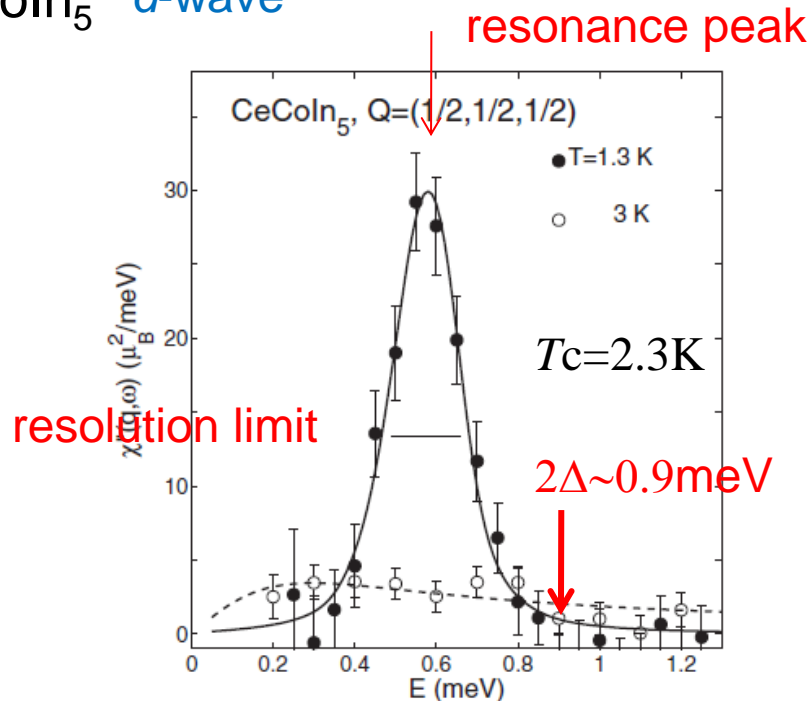
In the superconducting state

$$\text{Im}\chi_0(\mathbf{q}, \omega) = \frac{1}{4} \frac{1}{(2\pi)^3} \int d^3k \left( 1 - \frac{\Delta_k \Delta_{k+q}}{E_{k+q} E_k} \right) \delta(\omega - E_{k+q} - E_k) \quad E_k = \sqrt{\xi_k^2 + \Delta_k^2}$$

The coherence factor becomes 2 for  $\Delta_{k+Q} = -\Delta_k$

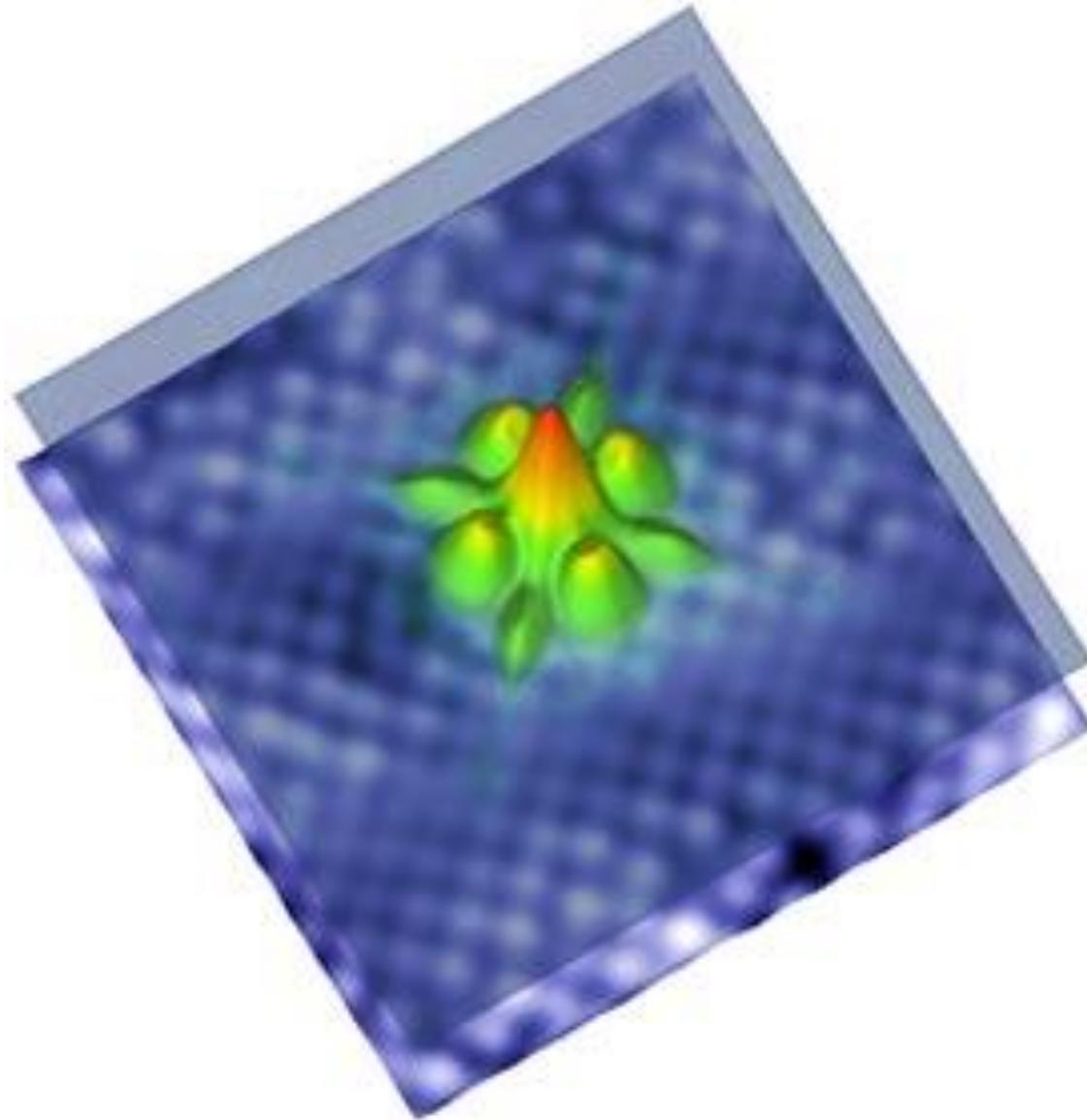
Sharp resonance peak at  $\omega_{\text{res}} < 2\Delta$

CeCoIn<sub>5</sub> *d-wave*



# Sign change or no sign change?: STM

Bi:2212 Zn不純物周りの電子状態

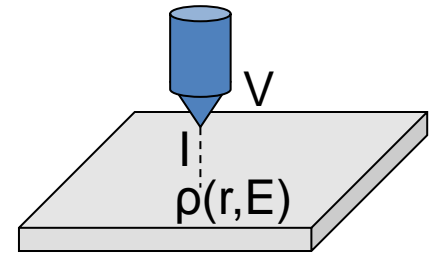


# Quasiparticle interference (QPI)

## Quasi-Particle Interference

$$Z(\mathbf{r}, E) \equiv \frac{dI/dV(\mathbf{r}, +E)}{dI/dV(\mathbf{r}, -E)} = \frac{\rho(\mathbf{r}, +E)}{\rho(\mathbf{r}, -E)} \xrightarrow{\text{FT}} Z(\mathbf{q}, E)$$

Tunnel conductance



No impurity (no scattering)  $Z(\mathbf{q}, E) = 0$  for  $\mathbf{q} \neq 0$   
 Nonmagnetic impurity

QP scattering probability (SC state)

$$w(\mathbf{k}\sigma \rightarrow \mathbf{k}'\sigma) \propto |V(\mathbf{k}, \mathbf{k}')|^2 \underbrace{(u_k u_{k'} - v_k v_{k'})^2}_{\text{coherence factor}}$$

matrix element

Nonmagnetic  
(no spin flip)

$$(u_k u_{k'} - v_k v_{k'})^2 = \frac{1}{2} \left( 1 - \frac{\Delta_k \Delta_{k'}}{E_k E_{k'}} \right)$$

sign-preserving scattering

$$\Delta_k \Delta_{k'} > 0 \quad (u_k u_{k'} - v_k v_{k'})^2 \quad \text{small}$$

sign-reversing scattering

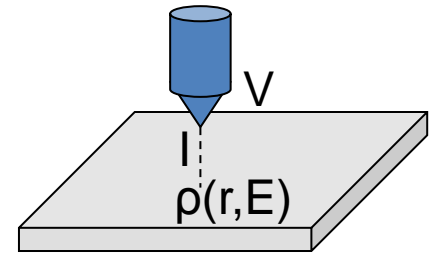
$$\Delta_k \Delta_{k'} < 0 \quad (u_k u_{k'} - v_k v_{k'})^2 \quad \text{large}$$

# Quasiparticle interference (QPI)

## Quasi-Particle Interference

$$Z(\mathbf{r}, E) \equiv \frac{dI/dV(\mathbf{r}, +E)}{dI/dV(\mathbf{r}, -E)} = \frac{\rho(\mathbf{r}, +E)}{\rho(\mathbf{r}, -E)} \xrightarrow{\text{FT}} Z(\mathbf{q}, E)$$

Tunnel conductance

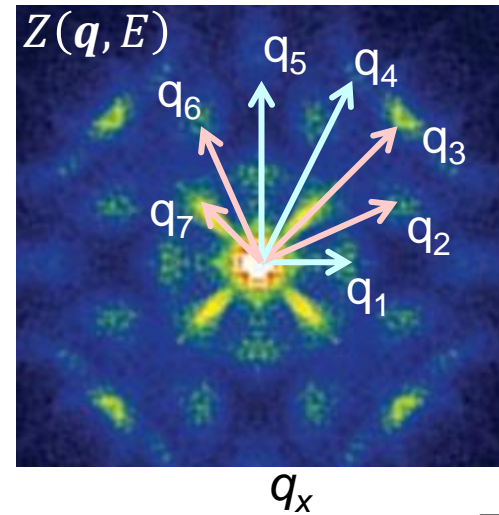
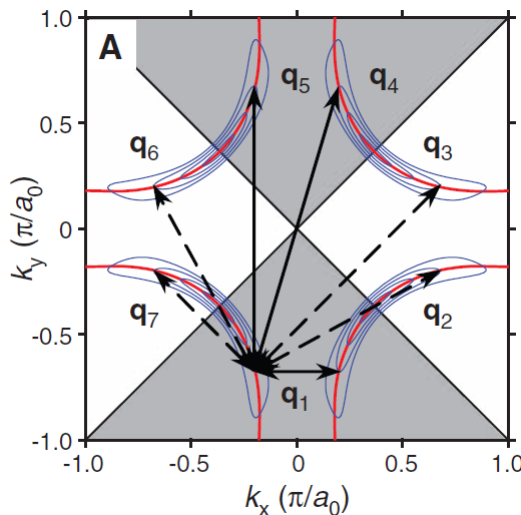


No impurity (no scattering)  $Z(\mathbf{q}, E) = 0$  for  $\mathbf{q} \neq 0$   
 Nonmagnetic impurity

## Cuprate : Octet Model

J. Hoffman *et al.*, Science (2002), K. McElroy, *et al.*, Nature (2003).

$\Delta_{\mathbf{k}}$  and  $\Delta_{\mathbf{k}+\mathbf{q}}$  {  
 sign-preserving scattering => suppression  
 sign-reversing scattering => enhancement



sign-preserving  
 ( $\mathbf{q}_1, \mathbf{q}_4, \mathbf{q}_5$ )

sign-reversing  
 ( $\mathbf{q}_2, \mathbf{q}_3, \mathbf{q}_6, \mathbf{q}_7$ )

T. Hanaguri *et al.*